



Study World Global College Malta

Diploma in Financial Crime Compliance, Anti-Money Laundering and
Combating the Financing of Terrorism

Type of Course	Qualification
Mode of Delivery	Fully Face-to-Face Learning
Title of the Qualification/ Award	Diploma in Financial Crime Compliance, Anti-Money Laundering and Combating the Financing of Terrorism
MQF Level	5

Hours of Total Learning	Total Contact Hours 300	
	(Contact Hours are hours invested In learning new content under the Direction of a tutor/lecturer (e.g. lectures, participation in online forums))	
	Self-Study Hours 1050	Assessments 150
	(Estimated workload of research and study)	(Examinations/ presentations/ group work/ projects, etc.)
Total Learning Hours	1,500Hours	
Total Number of ECTS for	60ECTS	
Mode of Attendance	Part-Time	
Programme Duration	Part-time: 11 Months	
Target Audience	Ages 19 – 65	
Language/s of Instruction	English	
Target Group	Students, managers or aspiring managers who are keen to progress in their chosen career and want to broaden their knowledge, improve their skills and increase their competence in AML and in Financial Crime Compliance disciplines and functions. Furthermore are those who would like to specialize in these fields.	
Relationship to Occupation/s	<p>This course may lead the students to apply to being appointed and approved as MLRO and Compliance Officer by Malta Financial Services Authority (MFSA) .</p> <p>This course is suitable for:</p> <ul style="list-style-type: none"> • MLROs/MLPOs and their deputies • Compliance Officers and their deputies • Police and FIU/FCU staff • Managers with responsibilities for internal AML controls • Individuals who have completed Degrees related to Finance and Accounting • Lawyers • Company Directors and Secretaries • Compliance Officers and their deputies • Anyone who aspires to a career in anti-money laundering or financial crime prevention 	

<p>Entry Requirements</p>	<p>The entry criteria for the Diploma in Financial Crime Compliance Anti-Money Laundering and Combating the Financing of Terrorism are designed to offer a number of entry routes, so that each applicant's previously certificated academic qualifications, prior learning experience and experiential skills can be taken fully into account when determining whether an offer of a place to study can be made.</p> <p>Prior to entering the Diploma in Financial Crime Compliance and AML programme, applicants are normally expected to be able to demonstrate a minimum of a Maltese matriculation certificate (or equivalent qualifications), with two subjects at an advanced level, three other subjects at an intermediate level and Systems of Knowledge, and a pass at Grade 5 or better in the English Language Secondary Education Certificate.</p> <p>Alternatively, a prospective student can be admitted with three subjects at Advanced Level (MQF 4) (or equivalent qualifications), and a pass at Grade 5 or better in the English Language Secondary Education Certificate.</p> <p>Alternatively, a prospective student can be admitted with two years experience in AML and Compliance roles or 3 years working experience in Business Administration.</p>
<p>Overall Programme Description</p>	<p>Over recent years the demand for AML and Compliance professionals has grown. At Global College Malta we recognise that demand; creating graduates with in-depth knowledge of the compliance sectors.</p> <p>Nowadays the aspect of AML and Compliance is an integral function within the global business environment. This course enables students to make sense of a range of important real-world issues affecting our everyday lives and improve their decision-making skills. Taught by experienced staff (approved by MFSA) from the profession, this Diploma not only looks at the theories and practices of AML and Financial Crime Compliance, but also their context in a business setting and significance within contemporary society.</p> <p>Students will develop the expertise needed to make an effective contribution to decision-making and problem solving, as well as the self-confidence and interpersonal skills needed to operate comfortably and effectively in the workplace.</p> <p>A distinctive part of this course is the way students will develop as a team player. They will engage in team-based exercises that will help them to understand the real issues facing organisations.</p> <p>All the College's courses provide students with an in-depth understanding of contemporary management techniques, and allow them to develop the skills to become an effective and socially responsible manager in local and global arenas, whether in public, private or third sector organisations and enterprises.</p> <p>This course is studied over 16 sessions on a part-time basis.</p>
<p>Learning Outcomes for Knowledge obtained at the end of the programme</p>	<p>The learner will be able to:</p> <ol style="list-style-type: none"> a) a) demonstrate an in depth understanding of the role and context of Compliance and AML in financial organisations and the methods by which transactions and onboarding of clients is monitored; b) demonstrate a detailed understanding of Compliance and AML, including the manner in which the information generated can be used; c) interrogate complex information about an organisation's performance and UBO's source of wealth through the interpretation of profit and loss statements and other documents; d) demonstrate a range of high-level managerial monitoring skills to critically evaluate information obtained; and, e) demonstrate a high level understand of the role and purpose of ongoing monitoring.

<p>Learning Outcomes for Skills obtained at the end of the programme</p>	<p>The learner will be able to:</p> <ol style="list-style-type: none"> a) Make informed decisions to identify the risk profile of different clients based on research of the available options; b) Understand national legal and regulatory frameworks c) Take an AML/CFT Risk-based approach to managing risks d) Prepare to take initial and 'ongoing' Customer Due Diligence (CDD) e) Monitor activity and transactions f) Recognise and report suspicions g) Identify the vulnerabilities of specific services and products
<p>General Pedagogical methods used for this programme</p>	<ul style="list-style-type: none"> • Lectures will be held physically • All the material is going to be uploaded on Moodle • The method of teaching is going to be based on theory and also on practical case studies. Discussions during the lectures are imperative to understand the subject more. • Students will be able to study in their own time • Course should be completed in 11 months • Assessed by a take-home assignment • Students results will be available within two weeks of submission.
<p>Selection Criteria for Tutors/Lecturers for this programme:</p>	<p>The criteria for the lecturers of this programme is that either they work at the regulator such as MFSA and FIAU or they are approved by the same authorities to be MLRO or Compliance Officers. Some years of working experience in Compliance, Anti-Money Laundering and Corporate Governance is a key for this programme. Furthermore, with respect to the minimum qualification, the lecturers should have Bachelors Honours qualification in either Finance, and/or Compliance and/or Accounting.</p>
<p>General Assessment Methods</p>	<p>The assessment strategy for the modules are designed to provide students with the opportunity to demonstrate both the theoretical and practical skills they have developed in the modules. Thus, the summative assessments for the modules consist of 6 take-home assignments covering the 6 modules in this course. Each take-home assignment of 2,500 words consists of 100% marks of for each module.</p> <p>All assessments will be submitted via the internationally recognised plagiarism software Turnitin.</p>

The Programme Structure:

Module/Unit Title	Compulsory (C) or Elective (E)	ECTS (Figures must be whole integers and with a value of at least 1 ECTS)	MQF Level of each module	Mode of Teaching (Lectures, workshop, placement, asynchronous, forums, VLE, etc.)	Mode of Assessment (Examination, assignment, project, blog, etc.)																					
Award in Anti-Money Laundering and Counter-Terrorism Financing	C	10	5	Lectures	Take-Home Assignment																					
Award in Sanctions and Anti-Financial Crime	C	10	5	Lectures	Take-Home Assignment																					
Award in Corporate Governance	C	10	5	Lectures	Take-Home Assignment																					
Award in Financial Regulatory and Crime Compliance	C	10	5	Lectures	Take-Home Assignment																					
Award in Internal Auditing and Risk Management	C	10	5	Lectures	Take-Home Assignment																					
Award in Compliance and AML in iGaming	C	10	5	Lectures	Take-Home Assignment																					
<p>Exit Awards/Qualifications</p> <p>Should the programme contains any Exit Point/s kindly specify:</p> <ul style="list-style-type: none"> - the full name of each Exit Award/ Qualification - the MQF level, - number of ECTS and - list the modules leading to each Exit Award/Qualification <p>This information shall be provided for each Exit Point.</p>	<p>Each Award qualification is an exit aware.</p> <table border="1"> <thead> <tr> <th>Exit Point</th> <th>MQF</th> <th>ECTS</th> </tr> </thead> <tbody> <tr> <td>Award in Anti-Money Laundering and Counter-Terrorism Financing</td> <td>5</td> <td>10</td> </tr> <tr> <td>Award in Sanctions and Anti-Financial Crime</td> <td>5</td> <td>10</td> </tr> <tr> <td>Award in Corporate Governance</td> <td>5</td> <td>10</td> </tr> <tr> <td>Award in Financial Regulatory and Crime Compliance</td> <td>5</td> <td>10</td> </tr> <tr> <td>Award in Internal Auditing and Risk Management</td> <td>5</td> <td>10</td> </tr> <tr> <td>Award in Compliance and AML in iGaming</td> <td>5</td> <td>10</td> </tr> </tbody> </table>					Exit Point	MQF	ECTS	Award in Anti-Money Laundering and Counter-Terrorism Financing	5	10	Award in Sanctions and Anti-Financial Crime	5	10	Award in Corporate Governance	5	10	Award in Financial Regulatory and Crime Compliance	5	10	Award in Internal Auditing and Risk Management	5	10	Award in Compliance and AML in iGaming	5	10
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<p>Structure of Programme</p> <p>If the programme has a duration of more than one semester, a structure of how the modules shall be divided per semester and academic year has to be provided.</p> <p>If the programme has both Full-time and Part-time duration, the structure shall take into consideration both modes of attendance.</p>	<p>The Diploma in Diploma in Financial Crime Compliance, AML and CFT, consists of six awards and is held on a Part-time duration. The duration of the programme is of 11 months with each award has around 6 weeks lectures (3 lectures a week, a study week and then assignment week). The students who successfully complete three modules (30 ECTS) would qualify for an Undergraduate Certificate qualification.</p>																									

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Title of the Module/Unit	Award in Anti-Money Laundering and Counter-Terrorism Financing
Module/Unit Description	<p>This award will explore the concepts of money laundering and Counter-Terrorism Financing and look at the obligations arising under the Prevention of Money Laundering Act and other relevant legislation and guidelines in both Maltese and European legislation.</p> <p>This Award provides an explanation of the concepts of money laundering and Counter-Terrorism Financing. It seeks to introduce the main obligations as a foundation to employees who are engaged in various organisational disciplines MLROs/MLPOs and their deputies, Compliance Officers and their deputies, Police, and FIU/FCU staff, Managers with responsibilities for internal AML controls and Directors.</p> <p>It outlines the principles, underlying concepts and theories through which compliance and anti-money laundering policies can be used to improve the quality of managerial decision-making. This Award will show the students about industry-specific good practice guidance and direction on AML programmes.</p> <p>Students will have knowledge and understanding of how to Conduct proper customer due diligence procedures;</p> <p>The Module provides a sound basis of the role of the Financial Intelligence Analysis Unit (FIAU), Sanctions Monitoring Board (SMB) and various other authorities.</p> <p>The module is delivered at Level 5 in the Malta Qualifications Framework. Accordingly, the content of this module is specialised and reflects current knowledge in the discipline.</p> <p>Students will be expected to demonstrate critical awareness of knowledge, competency and skills issues relating to the module content, equivalent to MQF Level 5 expectations.</p>
Educational Objectives	<p>Competences:</p> <p>Directly linked to the Maltese Qualification Framework Level 5 descriptors and required learning outcomes at MQF Level 5, at the end of the module the successful learner will have acquired the responsibility and autonomy equivalent to responsibility and autonomy to:</p> <ol style="list-style-type: none"> a) Understand the concept of money laundering; b) Appreciate the importance of AML regulation in combatting crime, fraud and terrorism; c) Be aware of obligations under arising under the Prevention of Money Laundering Act, d) Understand the Prevention of Money Laundering and Funding of Terrorism of Regulations and other relevant legislation and guidelines; e) Understand the main responsibilities of Senior Management and the Money Laundering Reporting Officer ('MLRO'); f) Understand industry-specific good practice guidance and direction on AML programmes; g) Conduct proper customer due diligence procedures; h) Develop and implement effective systems and controls for the prevention and detection of AML; i) Define the role of the Financial Intelligence Analysis Unit (FIAU); j) Identify possibly suspicious activities and red flag indicators for money laundering; k) Understand the recent developments in relation to the latest EU Anti-Money Laundering Directive; l) Understand the FATF Recommendations and Methodology;

- m) Discuss methods on how to implement a risk-based approach;
- n) Understand the requirements of CDD procedures;
- o) Understand how identification and verification of an applicant for business and a beneficial owner is to be carried out, and the resources which can be used;
- p) Identify the obligations for subject persons to carry out ongoing monitoring and sanction screening of a business relationship;
- q) Identify internal policies and procedures which need to be implemented within your organisation for AML/CFT; and,
- r) Create a proactive culture within the whole organisation with respect to risks from ML/FT.

Knowledge:

At the end of the module/unit the learner will have developed the following knowledge:

- a. To understand the Obligations arising under the Prevention of Money Laundering Act,
- b. Prevailing Maltese and European legislation and guidelines governing the Prevention of Money Laundering and Funding of Terrorism;
- c. To discuss the main responsibilities of Senior Management and the Money Laundering Reporting Officer ('MLRO');
- d. Analyse Industry-specific good practice guidance and direction on AML programs;

Skills:

At the end of the module/unit the learner will have acquired the following skills:

- a) the ability to critically evaluate, understand and determine the Obligations arising under the Prevention of Money Laundering Act
- b) the ability to assess the quality of processes and systems at work and set procedures to respond to work-related problems of a generally routine nature in the nature of Compliance and AML; and,
- c) Be able to apply the Prevention of Money Laundering and Funding of Terrorism of Regulations and other relevant legislation and guidelines
- d) Be able to build a AML Department with different roles.

Module-Specific Learner Skills

At the end of the module/unit the learner will be able to

- a) Undertake appropriate continued specialist professional development;
- b) Evaluate the requirements for learning and development related to Anti-Money Laundering and Counter-Terrorism Financing for different staffs within the organisation based on research of their needs;
- c) Be able to study in detail policies in specialist media with confidence and understanding; and,
- d) Be able to support and mentor colleagues in Anti-Money Laundering and Counter-Terrorism Financing.

Module-Specific Digital Skills and Competences

At the end of the module/unit, the learner will be able to

- a. identify, test and make use of appropriate digital information sources;
- b. develop their own skills in the identification, interpretation and use of digital

	techniques.	
Hours of Total Learning for this Module/Unit	Total Contact Hours (Contact Hours are hours invested In learning new content under the Direction of a tutor/lecturer (e.g. lectures participation in online forums))	
	50	
	Self-Study Hours (Estimated workload of research and study)	Assessment Hours (Examinations/ presentations/ group work/ projects etc.)
	175	25
Total Learning Hours of this Module	250 Hours	
Mode of Delivery	Fully Face-to-Face Learning	
Total Number of ECTS of this Module/Unit	10 ECTS	
Explain how this module/unit will be taught	<p>The module will be delivered through a flexible combination of modern face-to-face lectures, webinars, seminars and discussion forums, tutorials, group work, case studies, guest speakers, organisational visits and independent study.</p> <p>Delivery of the module has close regard to the needs of learners. Accordingly, much of the learning is either delivered at times to suit those learners who may have day time employment or child care commitments or made available in a supported teaching format.</p> <p>At all times, the views and needs of students are taken into account. By means of example, theoretical concepts might typically be delivered to students in modern face-to-face lecture formats which may then be further developed through subsequent interactions based around small group seminars, group case study analysis and digital discussions.</p> <p>Modern face-to-face and interactive learning sessions encourage students to critically examine key elements of business and management. In addition to attendance at formal learning sessions, students are expected to undertake independent self-study in order to support their individual learning journeys.</p>	
Explain how this particular module/unit will be assessed	<p>At the end of the Award module, students will be presented with the assignment questions, where students have four questions and need to choose three. Each take-home assignment of 2,500 words consists of 100% marks of that particular module. Students need to submit the assignment as per the submission date via the internationally recognised plagiarism software Turnitin.</p> <p>Grading: The assignment questions will be corrected and students must achieve a pass mark of at least 50 marks, in order to pass the module.</p>	

Title of the Module/Unit	Award in Sanctions and Anti-Financial Crime
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<p>Module/Unit Description</p>	<p>The Award explores the concepts of Sanctions risk and Financial Crime Prevention. It helps and supports senior risk professionals with both the theoretical and practical knowledge required to enhance their knowledge and develop new skills and awareness around a topic which continues to be critical to all banks.</p> <p>Sanctions can be a complex topic and dealing with sanctioned parties can be a risky business. National or international sanctions may be issued against individuals, entities, groups or nations; or even trading activities/particular sectors. Those bodies charged with enforcing sanctions compliance are particularly active at the moment, with multiple fines for firms in recent years stretching into billions of dollars. Suitable for those working financial crime and regulatory compliance.</p> <p>The Module provides a sound basis of the role of the Financial Intelligence Analysis Unit (FIAU), Sanctions Monitoring Board (SMB) and various other authorities.</p> <p>The module is delivered at Level 5 in the Malta Qualifications Framework. Accordingly, the content of this module is specialised and reflects current knowledge in the discipline.</p> <p>Students will be expected to demonstrate critical awareness of knowledge, competency and skills issues relating to the module content, equivalent to MQF Level 5 expectations.</p>
<p>Learning Outcomes</p>	<p>Competences:</p> <p>Directly linked to the Maltese Qualification Framework Level 5 descriptors and required learning outcomes at MQF Level 5, at the end of the module the successful learner will have acquired the responsibility and autonomy equivalent to responsibility and autonomy to:</p> <ol style="list-style-type: none"> a. Understand Global sanctions architecture – sanctions in context. b. Look at how sanctions regimes are implemented c. Analyse on Sector-based sanctions d. Identify higher risk jurisdictions and activities - Sanctions lists and screening e. Look at the management of global exposure to unilateral and regional sanctions f. Develop the control framework g. Conduct Sanctions screening h. Identify Incident management and holding of sanctioned funds. i. Understand what financial crime is and explain the financial crime risks. j. Identify theft and electronic crime k. Anti-Fraud policy l. Discuss methods on how to reduce financial crime m. Identify internal policies and procedures which need to be implemented within your organisation for Sanctions and Anti-Financial Crime. n. Identify the obligations for subject persons to carry out ongoing monitoring and sanction screening of a business relationship. o. Create a proactive culture within the whole organisation with respect to risks from ML/FT. <p>Knowledge:</p> <p>At the end of the module/unit the learner will have been exposed to the following:</p> <ol style="list-style-type: none"> a. the Obligations arising under the Financial Crime Legislation b. Legislation and guidelines for Sanction Risk. c. the main responsibilities of Senior Management and the Money Laundering Reporting Officer ('MLRO') with respect to the Financial Crime and Sanction Risk; d. Industry-specific good practice guidance and direction on Sanctions and Anti Financial Crime; <p>Skills:</p>

	<p>At the end of the module/unit the learner will have acquired the following skills:</p> <ol style="list-style-type: none"> the ability to critically evaluate, understand and determine the Obligations arising under the Legislation of Sanction and Financial Crime Prevention the ability to assess the quality of processes and systems at work and set procedures to respond to work-related problems of a generally routine nature in the nature of Financial Crime the ability to apply the Regulations of Sanction and Financial Crime Prevention and other relevant legislation and guidelines 	
	<p>Module-Specific Learner Skills (Over and above those mentioned in Section B)</p> <p>At the end of the module/unit the learner will be able to</p> <ol style="list-style-type: none"> Describe the international financial crime risks that must be assessed and managed by a regulated firm. Complete a financial crime risk assessment for a regulated firm. Define the systems and controls framework that is required to be managed to ensure that a regulated firm is not used by criminals or the financiers of terrorism. List all the best practices that are applicable to a financial institution. 	
	<p>Module-Specific Digital Skills and Competences (Over and above those mentioned in Section B)</p> <p>At the end of the module/unit, the learner will be able to</p> <ol style="list-style-type: none"> identify, test and make use of appropriate digital information sources; develop their own skills in the identification, interpretation and use of digital techniques. 	
Hours of Total Learning for this Module/Unit	<p>Total Contact Hours 50</p> <p>(Contact Hours are hours invested in learning new content under the Direction of a tutor/lecturer (e.g. lectures participation in online forums))</p>	
	<p>Self-Study Hours 175</p> <p>(Estimated workload of research and study)</p>	<p>Assessment Hours 25</p> <p>(Examinations/ presentations/ group work/ projects etc.)</p>
Total Learning Hours of this Module	250Hours	
Mode of Delivery	Fully Face-to-Face Learning	
Total Number of ECTS of this Module/Unit	10 ECTS	

<p>Explain how this module/unit will be taught</p>	<p>The module will be delivered through a flexible combination of modern face-to-face lectures, webinars, seminars and discussion forums, tutorials, group work, case studies, guest speakers, organisational visits and independent study.</p> <p>Delivery of the module has close regard to the needs of learners. Accordingly, much of the learning is either delivered at times to suit those learners who may have day time employment or child care commitments or made available in a supported teaching format.</p> <p>At all times, the views and needs of students are taken into account. By means of example, theoretical concepts might typically be delivered to students in modern face-to-face lecture formats which may then be further developed through subsequent interactions based around small group seminars, group case study analysis and digital discussions.</p> <p>Modern face-to-face and interactive learning sessions encourage students to critically examine key elements of business and management. In addition to attendance at formal learning sessions, students are expected to undertake independent self-study in order to support their individual learning journeys.</p>
<p>Explain how this particular module/unit will be assessed</p>	<p>At the end of the Award module, students will be presented with the assignment questions, where students have four questions and need to choose three. Each take-home assignment of 2,500 words consists of 100% marks of that particular module. Students need to submit the assignment as per the submission date via the internationally recognised plagiarism software Turnitin.</p> <p>Grading: The assignment questions will be corrected and students must achieve a pass mark of at least 50 marks, in order to pass the module.</p>

<p>Title of the Module/Unit</p>	<p>Award in Corporate Governance</p>
<p>Module/Unit Description</p>	<p>With corporate governance high on the public sector agenda, there is a clear need for a practical and structured training programme that will increase the knowledge and skills of those charged with making governance work in public bodies.</p> <p>This Award module will explain the concepts of Corporate Governance and analyse different regulations, principles, and reports on how to improve the governance of companies. The award will look at the Corporate Governance Act issued by MFSA.</p> <p>The module seeks to introduce the main obligations as a foundation to employees who are engaged in various organisational disciplines such as staff who support the work of the Board, staff who have responsibility for governance or aspects of governance throughout the organisation and middle and senior managers (or aspiring managers).</p> <p>The Module provides a sound basis of the role of the Financial Intelligence Analysis Unit (FIAU), Malta Financial Services Authority (MFSA) and various other authorities.</p> <p>The module is delivered at Level 5 in the Malta Qualifications Framework. Accordingly, the content of this module is specialised and reflects current knowledge in the discipline.</p> <p>Students will be expected to demonstrate critical awareness of knowledge, competency and skills issues relating to the module content, equivalent to MQF Level 5 expectations.</p>
<p>Learning Outcomes</p>	<p>Competences:</p> <p>Directly linked to the Maltese Qualification Framework Level 5 descriptors and required learning outcomes at MQF Level 5, at the end of the module the successful learner will have acquired the responsibility and autonomy equivalent to responsibility and autonomy to be able to:</p> <p>a. Understand key factors that influence the practical application of governance frameworks</p>

- b. Explain the role of governance bodies in developing strategy
- c. Evaluate the Governance roles and responsibilities while embedding corporate values.
- d. To be able to adhere properly to Codes of Conduct
- e. Look at practical examples of good and bad practice
- f. Evaluate the skills and behaviours required for an effective Board
- g. Evaluating Board effectiveness
- h. Identify the obligations for subject persons in line with the Corporate Governance Act.
- i. Identify internal policies and procedures which need to be implemented within your organisation.
- j. Create a proactive culture within the whole organisation with respect to Corporate Governance.

Knowledge:

At the end of the module/unit the learner will have been exposed to the following:

- a. Understanding the Obligations arising under the Corporate Governance Act,
- b. Defining the main responsibilities of Senior Management and the Board of Directors.
- c. Discussing Industry-specific good practice guidance and direction on Corporate Governance;

Skills:

At the end of the module/unit the learner will have acquired the following skills:

- a. Have the ability to critically evaluate, understand and determine the Obligations arising under the Corporate Governance Act.
- b. Have the ability to assess the structure of the Board structure and responding to work-related problems and,
- c. Be able to build procedures for Good Corporate Governance in your company.

Module-Specific Learner Skills

(Over and above those mentioned in Section B)

At the end of the module/unit the learner will be able to

- a. ensure that organisations are appropriately constituted and structured, and operate the appropriate governance processes
- b. support good practice in stewardship, risk and assurance, and internal control
- c. exemplify good standards of behaviour as a way of enabling good governance in organisations.

Module-Specific Digital Skills and Competences

(Over and above those mentioned in Section B)

At the end of the module/unit, the learner will be able to:

- e. identify, test and make use of appropriate digital information sources;
- f. develop their own skills in the identification, interpretation and use of digital techniques.

Hours of Total Learning for this Module/Unit	Total Contact Hours		50
	(Contact Hours are hours invested In learning new content under the Direction of a tutor/lecturer (e.g. lectures participation in online forums))		
	Self-Study Hours (Estimated workload of research and study)	175	Assessment Hours (Examinations/ presentations/ group work/ projects etc.)
Total Learning Hours of this Module	250Hours		
Mode of Delivery	Fully Face-to-Face Learning		
Total Number of ECTS of this Module/Unit	10 ECTS		
Explain how this module/unit will be taught	<p>The module will be delivered through a flexible combination of modern face-to-face lectures, webinars, seminars and discussion forums, tutorials, group work, case studies, guest speakers, organisational visits and independent study.</p> <p>Delivery of the module has close regard to the needs of learners. Accordingly, much of the learning is either delivered at times to suit those learners who may have day time employment or child care commitments or made available in a supported teaching format.</p> <p>At all times, the views and needs of students are taken into account. By means of example, theoretical concepts might typically be delivered to students in modern face-to-face lecture formats which may then be further developed through subsequent interactions based around small group seminars, group case study analysis and digital discussions.</p> <p>Modern face-to-face and interactive learning sessions encourage students to critically examine key elements of business and management. In addition to attendance at formal learning sessions, students are expected to undertake independent self-study in order to support their individual learning journeys.</p>		
Explain how this particular module/unit will be assessed	<p>At the end of the Award module, students will be presented with the assignment questions, where students have four questions and need to choose three. Each take-home assignment of 2,500 words consists of 100% marks of that particular module. Students need to submit the assignment as per the submission date via the internationally recognised plagiarism software Turnitin.</p> <p>Grading: The assignment questions will be corrected and students must achieve a pass mark of at least 50 marks, in order to pass the module.</p>		

Title of the Module/Unit	Award in Financial Regulatory and Crime Compliance
Module/Unit Description In this section kindly provide a brief description of the module	This Award provides a global view of transnational crime. It illustrates practical defences, includes various acts and focuses on how laws, regulations and best practice continue to evolve across the entire spectrum of associated activities. It covers the background and nature of financial crime, money laundering, terrorist financing, and corruption, combating financial crime, bribery and the role of the private sector. It also provides the key aspects of financial regulatory reporting, from organisational scope and how they impact the companies' processes.

	<p>This Award provides an explanation of the concepts of Financial Regulatory and Crime Compliance. It seeks to introduce the main obligations as a foundation to compliance officers and money laundering reporting officers, those involved in regulation, law enforcement, trade and commerce and individuals from bi-lateral development institutions and civil society organisations.</p> <p>It examples the principles and underlying concepts and theories in which Financial Regulatory and Crime Compliance can be used to improve the quality of managerial decision-making.</p> <p>The Module provides a sound basis of the role of the Financial Intelligence Analysis Unit (FIAU), Sanctions Monitoring Board (SMB), Malta Financial Services Authority (MFSA) and various other authorities.</p> <p>The module is delivered at Level 5 in the Malta Qualifications Framework. Accordingly, the content of this module is specialised and reflects current knowledge in the discipline.</p> <p>Students will be expected to demonstrate critical awareness of knowledge, competency and skills issues relating to the module content, equivalent to MQF Level 5 expectations.</p>
<p>Learning Outcomes</p>	<p>Competences:</p>
	<p>Directly linked to the Maltese Qualification Framework Level 5 descriptors and required learning outcomes at MQF Level 5, at the end of the module the successful learner will have acquired the responsibility and autonomy equivalent to responsibility and autonomy to:</p> <ol style="list-style-type: none"> a. Provide Financial Regulatory Background b. Understand Key Reporting Requirements c. Explain Systems and Controls d. Analyse the implications for UK, EU and Global Investment Banks and Asset Managers e. Implement challenges and lessons learnt. f. Provide an overview of Financial Crime g. Explain how to combat Financial Crime h. Identify the obligations for subject persons to carry out ongoing crime compliance. i. Identify internal policies and procedures which need to be implemented within your organisation for Financial Regulatory and Crime Compliance. j. Create a proactive culture within the whole organisation with respect to risks Crime Compliance.
	<p>Knowledge:</p>
	<p>At the end of the module/unit the learner will have been exposed to the following:</p> <ol style="list-style-type: none"> a. Be able to understand the Obligations arising under various regulations on Crime Compliance. b. Be able to define the main responsibilities of Senior Management and the Compliance Officer; c. Be able to discuss Industry-specific good practice guidance and direction on Financial Regulatory and Crime Compliance programs;
	<p>Skills:</p>
<p>At the end of the module/unit the learner will have acquired the following skills:</p> <ol style="list-style-type: none"> a. Have the ability to critically evaluate, understand and determine the Obligations 	

	<p>arising under various legislations</p> <p>b. Have the ability to assess the quality of processes and systems at work and set procedures to respond to work-related problems of a generally routine nature in the nature of Financial Regulatory and Crime Compliance; and,</p> <p>c. Have the ability to determine and apply relevant legislation and guidelines.</p> <p>d. Be able to build a Crime Compliance Department with different roles.</p>	
	<p>Module-Specific Learner Skills (Over and above those mentioned in Section B)</p> <p>At the end of the module/unit the learner will be able to</p> <p>e) Undertake appropriate continued specialist professional development;</p> <p>f) Evaluate the requirements for learning and development related to Anti-Money Laundering and Counter-Terrorism Financing for different staffs within the organisation based on research of their needs;</p> <p>g) study in detail policies in specialist media with confidence and understanding; and,</p> <p>h) support and mentor colleagues in Anti-Money Laundering and Counter-Terrorism Financing.</p>	
	<p>Module-Specific Digital Skills and Competences (Over and above those mentioned in Section B)</p> <p>At the end of the module/unit, the learner will be able to</p> <p>g. able to identify, test and make use of appropriate digital information sources;</p> <p>h. develop their own skills in the identification, interpretation and use of digital techniques.</p>	
Hours of Total Learning for this Module/Unit	<p>Total Contact Hours</p> <p>(Contact Hours are hours invested in learning new content under the Direction of a tutor/lecturer (e.g. lectures participation in online forums)</p> <p style="text-align: right;">50</p>	
	<p>Self-Study Hours</p> <p>(Estimated workload of research and study)</p> <p style="text-align: right;">175</p>	<p>Assessment Hours</p> <p>(Examinations/ presentations/ group work/ projects etc.)</p> <p style="text-align: right;">25</p>
Total Learning Hours of this Module	250 Hours	
Mode of Delivery	Fully Face-to-Face Learning	
Total Number of ECTS of this Module/Unit	10 ECTS	
Explain how this module/unit will be taught	<p>The module will be delivered through a flexible combination of modern face-to-face lectures, webinars, seminars and discussion forums, tutorials, group work, case studies, guest speakers, organisational visits and independent study.</p> <p>Delivery of the module has close regard to the needs of learners. Accordingly, much of the learning is either delivered at times to suit those learners who may have day time employment or child care commitments or made available in a supported teaching format.</p>	

	<p>At all times, the views and needs of students are taken into account. By means of example, theoretical concepts might typically be delivered to students in modern face-to-face lecture formats which may then be further developed through subsequent interactions based around small group seminars, group case study analysis and digital discussions.</p> <p>Modern face-to-face and interactive learning sessions encourage students to critically examine key elements of business and management. In addition to attendance at formal learning sessions, students are expected to undertake independent self-study in order to support their individual learning journeys.</p>
Explain how this particular module/unit will be assessed	<p>At the end of the Award module, students will be presented with the assignment questions, where students have four questions and need to choose three. Each take-home assignment of 2,500 words consists of 100% marks of that particular module. Students need to submit the assignment as per the submission date via the internationally recognised plagiarism software Turnitin.</p> <p>Grading: The assignment questions will be corrected and students must achieve a pass mark of at least 50 marks, in order to pass the module.</p>

Title of the Module/Unit	Award in Internal Auditing and Risk Management
Module/Unit Description	<p>This Award introduces the key components required for effective corporate governance, risk management and internal control, drawing upon a wide variety of published good practice. The contributions required from a variety of roles are explored, along with techniques for embedding risk management into the culture of an organisation.</p> <p>The module will develop students' understanding of the framework within which internal audit planning and assurance are set, the nature of the types of internal audit plans i.e., strategic, annual and engagement and the need for assurance. Focussing on the identification and mitigation of risks affecting internal systems, business processes, projects, applications, data and third parties along with the suitability of the controls in place, and the reliability of reporting.</p> <p>Students will have knowledge and understanding of how to Conduct proper customer due diligence procedures;</p> <p>The Module provides a sound basis of the role of the Financial Intelligence Analysis Unit (FIAU), Sanctions Monitoring Board (SMB) and various other authorities.</p> <p>The module is delivered at Level 5 in the Malta Qualifications Framework. Accordingly, the content of this module is specialised and reflects current knowledge in the discipline.</p> <p>Students will be expected to demonstrate critical awareness of knowledge, competency and skills issues relating to the module content, equivalent to MQF Level 5 expectations.</p>
Learning Outcomes	<p>Competences:</p> <p>Directly linked to the Maltese Qualification Framework Level 5 descriptors and required learning outcomes at MQF Level 5, at the end of the module the successful learner will have acquired the responsibility and autonomy equivalent to responsibility and autonomy to:</p> <ol style="list-style-type: none"> Understand the Risk management frameworks and standards; Develop a risk management process and policy Take a strategic perspective on risk management. Prepare embedding and monitoring of risk management. Outline the stages involved in a risk-based approach to internal audit planning. explain why internal audit seeks to assure itself of the reliability of risk management process before developing the audit plan. Identify the obligations for subject persons to carry out ongoing monitoring and sanction screening of a business relationship. Identify internal policies and procedures which need to be implemented within your organisation for AML/CFT.

- i. Create a proactive culture within the whole organisation with respect to Risk Management.

Knowledge:

At the end of the module/unit the learner will have been exposed to the following:

- a. Be able to contribute to the creation of the strategic and annual internal audit plans.
- b. Be able to outline the stages involved in a risk-based approach to internal audit planning and engagement.
- c. Be able to identify the features of the framework in which internal audit operates.
- d. Be able to design an appropriate audit testing programme.
- e. Be able to assess audit evidence.
- f. Be able to report audit findings in an appropriate format.
- g. Be able to describe the key processes that are included in a risk management framework.
- h. Be able to appreciate the benefits of embedding risk management within an organisation and how this may be achieved.
- i. Be able to describe internal control frameworks and the links that exist between corporate governance, risk management and internal control.
- j. Be able to distinguish between the various roles that are essential for effective governance and risk management, including the roles of external parties.

Skills:

At the end of the module/unit the learner will have acquired the following skills:

- a. the ability to critically evaluate, understand and determine the Obligations arising from Internal Auditor and Risk Manager.
- b. the ability to assess the quality of processes and systems at work and set procedures to respond to work-related problems of a generally routine nature in the nature of Internal Auditing and Risk Management; and,
- c. Be able to determine and apply the relevant legislation and guidelines
- d. Be able to build an Internal Audit and Risk Management Departments with different roles.

Module-Specific Learner Skills

(Over and above those mentioned in Section B)

At the end of the module/unit the learner will be able to:

- a. Undertake appropriate continued specialist professional development;
- b. Evaluate the requirements for learning and development related to Internal Audit and Risk Management for different staffs within the organisation based on research of their needs;
- c. study in detail policies in specialist media with confidence and understanding; and,
- d. support and mentor colleagues in Internal Audit and Risk Management.

Module-Specific Digital Skills and Competences

(Over and above those mentioned in Section B)

At the end of the module/unit, the learner will be able to

- a. identify, test and make use of appropriate digital information sources;
- b. develop their own skills in the identification, interpretation and use of digital techniques.

Hours of Total Learning for this Module/Unit	Total Contact Hours 50 (Contact Hours are hours invested In learning new content under the Direction of a tutor/lecturer (e.g. lectures participation in online forums))	
	Self-Study Hours 175 (Estimated workload of research and study)	Assessment Hours 25 (Examinations/ presentations/ group work/ projects etc.)
Total Learning Hours of this Module	250Hours	
Mode of Delivery	Fully Face-to-Face Learning	
Total Number of ECTS of this Module/Unit	10 ECTS	
Explain how this module/unit will be taught	<p>The module will be delivered through a flexible combination of modern face-to-face lectures, webinars, seminars and discussion forums, tutorials, group work, case studies, guest speakers, organisational visits and independent study.</p> <p>Delivery of the module has close regard to the needs of learners. Accordingly, much of the learning is either delivered at times to suit those learners who may have day time employment or child care commitments or made available in a supported teaching format.</p> <p>At all times, the views and needs of students are taken into account. By means of example, theoretical concepts might typically be delivered to students in modern face-to-face lecture formats which may then be further developed through subsequent interactions based around small group seminars, group case study analysis and digital discussions.</p> <p>Modern face-to-face and interactive learning sessions encourage students to critically examine key elements of business and management. In addition to attendance at formal learning sessions, students are expected to undertake independent self-study in order to support their individual learning journeys.</p>	
Explain how this particular module/unit will be assessed	<p>At the end of the Award module, students will be presented with the assignment questions, where students have four questions and need to choose three. Each take-home assignment of 2,500 words consists of 100% marks of that particular module. Students need to submit the assignment as per the submission date via the internationally recognised plagiarism software Turnitin.</p> <p>Grading: The assignment questions will be corrected and students must achieve a pass mark of at least 50 marks, in order to pass the module.</p>	

Title of the Module/Unit	Award in Compliance and AML in iGaming
Module/Unit Description	<p>This Award introduces the industry's main legal and regulatory requirements related to AML & CFT, Anti-Fraud & Payments, Responsible Gambling, and GDPR.</p> <p>The award covers the basics of Information Security, provides an overview of Technical Standards, and introduces Marketing and Promotion in its various forms.</p> <p>This interactive course presents the responsibilities of a company and its employees to</p>

	<p>keep crime out of gaming and be compliant with laws and regulations. It also discusses industry best practice for detecting suspicious activities and unusual transactions, as well as record keeping and reporting requirements</p> <p>This Award provides an explanation of the concepts of money laundering and Compliance in IGaming. It seeks to introduce the main obligations as a foundation to compliance professionals operating in regulated businesses such as casinos, remote gaming, and sport betting apps. .</p> <p>The module examples the principles and underlying concepts and theories in which compliance and anti-money laundering policies can be used to improve the quality of managerial decision-making. This Award will show the students about industry-specific good practice guidance and direction on AML programmes.</p> <p>Students will have knowledge and understanding of how to Conduct proper customer due diligence procedures.;</p> <p>The Module provides a sound basis of the role of the Malta Gaming Authority (MGA) and various other authorities.</p> <p>The module is delivered at Level 5 in the Malta Qualifications Framework. Accordingly, the content of this module is specialised and reflects current knowledge in the discipline.</p> <p>Students will be expected to demonstrate critical awareness of knowledge, competency and skills issues relating to the module content, equivalent to MQF Level 5 expectations.</p>
<p>Learning Outcomes</p>	<p>Competences:</p>
	<p>Directly linked to the Maltese Qualification Framework Level 5 descriptors and required learning outcomes at MQF Level 5, at the end of the module the successful learner will have acquired the responsibility and autonomy equivalent to responsibility and autonomy to:</p> <ol style="list-style-type: none"> a. Describe the history of AML/CFT and the current status of compliance in the iGaming industry b. Identify AML/CFT risks by applying a risk-based approach c. Get to know how to report suspicious activity and unusual transactions d. Be able to carry out KYC due diligence e. Classify information and apply best practices to avoid data breaches f. Recognise fraudulent game patterns (sports betting, sportsbook, casino, poker) and build defence strategies for an Anti-Fraud & Payments department in gaming g. Create processes and procedures based on relevant rules and regulations h. Perform enhanced due diligence checks on high-risk factors i. Monitor suspicious behaviour j. Get to know how to report a data breach k. Identify and verify problem gamblers, and apply responsible gaming practices
	<p>Knowledge:</p>
<p>At the end of the module/unit the learner will have been exposed to the following:</p> <ol style="list-style-type: none"> a. Be able to understand the Obligations arising under the Prevention of Money Laundering Act, b. Be able to define the main responsibilities of Senior Management and the Money Laundering Reporting Officer ('MLRO'); c. Be able to discuss Industry-specific good practice guidance and direction on AML programs; 	

	Skills:	
	<p>At the end of the module/unit the learner will have acquired the following skills:</p> <ul style="list-style-type: none"> a) the ability to critically evaluate, understand and determine the Obligations arising under the Prevention of Money Laundering Act b) the ability to assess the quality of processes and systems at work and set procedures to respond to work-related problems of a generally routine nature in the nature of Compliance and AML; and, c) Be able to determine and apply the Prevention of Money Laundering and Funding of Terrorism of Regulations and other relevant legislation and guidelines 	
	<p>Module-Specific Learner Skills (Over and above those mentioned in Section B)</p> <p>At the end of the module/unit the learner will be able to</p> <ul style="list-style-type: none"> a) Undertake appropriate continued specialist professional development; b) Evaluate the requirements for learning and development related to Anti-Money Laundering and Counter-Terrorism Financing for different staffs within the organisation based on research of their needs; c) study in detail policies in specialist media with confidence and understanding; and, d) support and mentor colleagues in Anti-Money Laundering and Counter-Terrorism Financing. 	
<p>Module-Specific Digital Skills and Competences (Over and above those mentioned in Section B)</p> <p>At the end of the module/unit, the learner will be able to</p> <ul style="list-style-type: none"> c. identify, test and make use of appropriate digital information sources; d. develop their own skills in the identification, interpretation and use of digital techniques. 		
Hours of Total Learning for this Module/Unit	Total Contact Hours	50
	<p>(Contact Hours are hours invested In learning new content under the Direction of a tutor/lecturer (e.g. lectures participation in online forums)</p>	
	Self-Study Hours	175
	Assessment Hours	25
	(Estimated workload of research and study)	(Examinations/ presentations/ group work/ projects etc.)
Total Learning Hours of this Module	250 Hours	
Mode of Delivery	Fully Face-to-Face Learning	

Total Number of ECTS of this Module/Unit	10 ECTS
Explain how this module/unit will be taught	<p>The module will be delivered through a flexible combination of modern face-to-face lectures, webinars, seminars and discussion forums, tutorials, group work, case studies, guest speakers, organisational visits and independent study.</p> <p>Delivery of the module has close regard to the needs of learners. Accordingly, much of the learning is either delivered at times to suit those learners who may have day time employment or child care commitments or made available in a supported teaching format.</p> <p>At all times, the views and needs of students are taken into account. By means of example, theoretical concepts might typically be delivered to students in modern face-to-face lecture formats which may then be further developed through subsequent interactions based around small group seminars, group case study analysis and digital discussions.</p> <p>Modern face-to-face and interactive learning sessions encourage students to critically examine key elements of business and management. In addition to attendance at formal learning sessions, students are expected to undertake independent self-study in order to support their individual learning journeys.</p>
Explain how this particular module/unit will be assessed	<p>At the end of the Award module, students will be presented with the assignment questions, where students have four questions and need to choose three. Each take-home assignment of 2,500 words consists of 100% marks of that particular module. Students need to submit the assignment as per the submission date via the internationally recognised plagiarism software Turnitin.</p> <p>Grading: The assignment questions will be corrected and students must achieve a pass mark of at least 50 marks, in order to pass the module.</p>

